



Certification of Beneficial Owners for Legal Entity Clients

This form is required by federal regulations as a means to identify and document information for individuals who own and/or control a legal entity.

To help the government fight financial crime, federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. A legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in The United States of America or a foreign country. A legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

Please provide a copy of the driver's license (U.S. individuals only) or other identification document (passport or similar identification document in the case of a foreign individual) for each beneficial owner and for the authorized individual with significant management responsibility as identified in this form.

Legal Entity Information (If you are unable to complete this form electronically, please print legibly in blue or black ink.)

Entity Name		Entity's Federal Tax ID	
Entity Street Address		City	State ZIP code
Legal Name and Title of Individual Establishing Relationship			

Beneficial Owners

Identify each individual who owns—directly or indirectly through any agreement, arrangement, understanding, relationship, or otherwise—25% or more of the equity interests of the legal entity. For a trust, please provide Trustee information in lieu of beneficial ownership.

Beneficial Owner 1 Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name			
Street Address			
City	State	ZIP code	Country
Social Security Number		Date of Birth	
Driver's License Number (U.S. Citizens only)		Driver's License State of Issuance	Driver's License Expiration Date
Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens)		Passport Country of Issuance	Passport Expiration Date

Beneficial Owner 2 Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name			
Street Address			
City	State	ZIP code	Country
Social Security Number		Date of Birth	
Driver's License Number (U.S. Citizens only)		Driver's License State of Issuance	Driver's License Expiration Date
Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens)		Passport Country of Issuance	Passport Expiration Date

Beneficial Owner 3

Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name

Street Address

City State ZIP code Country

Social Security Number Date of Birth

Driver's License Number (U.S. Citizens only) Driver's License State of Issuance Driver's License Expiration Date

Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens) Passport Country of Issuance Passport Expiration Date

Beneficial Owner 4

Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name

Street Address

City State ZIP code Country

Social Security Number Date of Birth

Driver's License Number (U.S. Citizens only) Driver's License State of Issuance Driver's License Expiration Date

Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens) Passport Country of Issuance Passport Expiration Date

Authorized Individual with Significant Management ResponsibilityProvide information for one individual with significant responsibility for managing the legal entity (ex: CEO, CFO, managing member, general partner, president, treasurer, etc.). **If this individual is noted as an owner above, only the signature, name, and title are required.**

Individual Legal Name Position Title

Street Address City State ZIP code Country

Social Security Number Date of Birth

Driver's License Number (U.S. Citizens only) Driver's License State of Issuance Driver's License Expiration Date

Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens) Passport Country of Issuance Passport Expiration Date

I hereby certify to the best of my knowledge that the information provided above is complete and correct.

Signature Date
